

SUMMITGLOBAL
PRIVATE WEALTH

The Best Career Moves Aren't About Starting Over.

They're About Coming Home.

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Over the years, I've had hundreds of conversations with financial advisors.

Many begin the same way: "I've built a good business." "I'm grateful for where I am, but I feel like I've plateaued." Sometimes it's about technology. Sometimes it's about investment capabilities. Sometimes it's about succession planning, operations, or marketing.

But more often than not, the conversation isn't really about products or platforms. It's about something much deeper. It's about wanting to belong somewhere that helps them become the advisor they know they're capable of becoming. That's a very different conversation.

Success Isn't Built Alone

Our industry often celebrates independence. That's understandable. Entrepreneurs build businesses through hard work, resilience, and determination. But independence shouldn't mean isolation.

The most successful advisors I've met aren't successful because they do everything themselves. They're successful because they've surrounded themselves with people who make them better. People who challenge them. Support them. Celebrate their victories. Help them through difficult seasons.

Growth accelerates when you're part of a team that's genuinely invested in your success. That's the kind of culture we've worked to build at Summit Global Private Wealth.

More Than a Platform

Yes, we offer sophisticated investment strategies. Yes, we provide technology designed to simplify operations and enhance the client experience. Yes, we invest heavily in planning resources, marketing support, practice management, insurance solutions, portfolio management, trading, operations, and business development. Those things matter. They should.

But they aren't what advisors remember after they've been here for five years. What they remember is the people.

The phone call someone made simply to check in. The colleague who willingly shared an idea. The executive who made time when they didn't have to. The relationships that developed around conference tables, client successes, and shared purpose.

Culture isn't created by mission statements. It's created by how people treat each other every single day.



Building Something Bigger Than Ourselves

One of the greatest privileges of my career has been watching advisors grow beyond what they thought was possible.

Not simply growing assets under management. Growing confidence. Growing leadership. Growing teams. Growing the impact they have on the families they serve. That's why we exist.

Helping advisors become more successful ultimately helps more clients achieve financial confidence. The ripple effect is extraordinary. When one advisor grows, hundreds of families often benefit. That's meaningful work.

Not Every Advisor Should Join Summit Global

That may sound like an unusual thing for a CEO to say. But it's true. We're not trying to become the biggest firm. We're trying to become the right firm. Culture isn't something you manufacture. It's something you protect.

We look for advisors who believe collaboration creates better outcomes than competition. Who value integrity as much as performance. Who enjoy sharing ideas as much as gathering assets. Who understand that long-term relationships matter more than short-term production. When those values align, remarkable things happen.

Finding Your Next Chapter

Every advisor reaches moments of reflection. Is my firm still helping me grow? Am I spending my time where it creates the greatest value? Do I have the support I need to serve clients at the highest level?

Most importantly...Am I where I belong?

Sometimes the next stage of growth doesn't require reinventing your practice. Sometimes it simply requires finding the right environment for it to flourish. That's what we've worked hard to build at Summit Global Private Wealth.

A place where advisors have access to institutional-quality investment solutions, innovative technology, comprehensive practice support, and a team committed to helping them succeed.

More importantly, it's a place where advisors are known. Respected. Encouraged. And challenged to become the very best version of themselves. Because at the end of the day, we're not simply building portfolios. We're building careers. We're building relationships.

And together, we're building a community that truly feels like home.

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Dave Harden is the Founder, CEO, and Chief Investment Officer at Summit Global Investments (SGI), a firm he built with a steadfast commitment to disciplined investing, risk management, and a vision for long-term financial stewardship.

Under Dave's leadership, SGI has grown steadily over the past 15 years to become a fully integrated enterprise group, combining investment management, private wealth, family office, and insurance expertise. Now with over \$2.5 billion in assets under management (AUM), SGI continues to expand its national presence and capabilities. A respected voice on the markets and investment strategy, Dave is regularly sought out by national financial media for his perspective on market trends, economic developments, and the forces shaping investor portfolios.