



# SGI US LARGE CAP CORE ETF

## Managed Risk Approach™

Managing downside risk is at the core of everything we do. We are driven to find, assess and mitigate potential risks in individual securities, systemically in companies, and across combinations of companies.

We are active managers; our portfolios are designed to help investors flourish in rising markets. But, it's our critical focus on the downside that has historically proven key to pursuing investors' long-term goals.

## SGI US Large Cap Core ETF

Our new Large Cap Core exchange traded fund (ETF) is a simple way for investors to participate in an actively managed portfolio, which we believe can be considered for a core holding in an overall investment program.

The Fund will invest 80% of its net assets in companies in the Russell 1000® and S&P 500® Indexes, anticipating a return similar to the market, but with equal or less risk than the market.

We select the stocks we believe exhibit less volatile stock price patterns than the market, strengthening business metrics, and a variety of attractive quantitative factors to achieve our objective of long-term capital appreciation. Of course, there is no guarantee that the strategy will be successful or that the ETF will not experience periods of volatility and loss.

We continually review idiosyncratic risks associated with each stock and may sell if we deem these risks to be elevated due to sustainability, governance issues, negative exclusionary screening, or legal risks or if the risk/return characteristics decline.

## An Active Semi Transparent ETF

As part of an evolution of the ETF space approved by the SEC in 2019, semi-transparent ETFs disclose holdings quarterly, like a mutual fund. This allows potential for greater alpha to be created by our proprietary portfolio trading strategy. By not revealing our insights and decisions daily like traditional ETFs, there is less potential for other traders to engage in practices that may potentially harm the Fund and its shareholders.

Like all ETFs, SGLC offers daily liquidity, is tax-efficient and has low expenses.



Ticker | **SGLC**

**Actively managed**  
semi-transparent ETF

Seeks **long-term**  
**capital appreciation**

**Low** expenses

**Tax efficient**

Daily **liquidity**

**NYSE** listed

Inception | **3.31.2023**



**DAVID HARDEN**

Founder, CEO, CIO  
Summit Global Investments LLC

## Portfolio Manager

Dave Harden has enjoyed a career of more than 25 years in investment technology and quantitative research. He has an established track-record demonstrating his ability as a portfolio manager and equity strategist. Prior to founding SGI, he worked with Fidelity Investments, Evergreen Investments and Ensign Peak Advisors, where he was responsible for developing and implementing numerous portfolio strategies.

For most of his career, Dave has focused on managing institutional assets with a managed risk approach. He is one of only a handful of active portfolio managers in the world that has researched, constructed, implemented, and actively managed significant assets in the managed risk space. He is a graduate of Boston University, M.S.C.S. and Boston College, B.A.

## Summit Global Investments, Adviser

SGI is an SEC-registered investment advisor headquartered in Salt Lake City, Utah, with offices in San Francisco, CA and Boise, ID. The firm serves institutional and individual investors, Registered Investment Advisors, and Family Offices. It is dedicated to creating customized investment solutions and strategies for its clients.

### IMPORTANT INFORMATION

*Carefully consider the Fund's investment objectives, risk factors, charges, and expenses before investing. This and additional information can be found in the Fund's prospectus. A hard copy may be obtained by calling (800) 531-5142. Read the prospectus carefully before investing.*

**Investing involves risk, including possible loss of principal.** Equity securities (stocks) are subject to market, economic, and business risks that will cause their price to rise or fall over time. Market or economic factors impacting information technology companies that rely heavily on technological advances could have a significant effect on the value of the Fund's investments. The risks of investing in foreign companies, including those located in emerging market countries, can increase the potential for losses in the Fund and may include currency fluctuations, political and economic instability, less government regulation, less publicly available information, limited trading markets, differences in financial reporting standards, including recordkeeping standards, and less stringent regulation of securities markets.

Diversification does not eliminate the risk of experiencing investment loss.

Shares of any ETF are bought and sold at market price (not NAV), may trade at a discount or premium to NAV and are not individually redeemed from the funds. Brokerage commissions will reduce returns.

This ETF is different from traditional ETFs. Traditional ETFs tell the public what assets they hold each day. This ETF will not. This may create additional risks for your investment. For example: You may have to pay more money to trade an ETF's shares. This ETF will provide less information to traders, who tend to charge more for trades when they have less information. The price you pay to buy ETF shares on an exchange may not match the value of the ETF's portfolio. The same is true when you sell shares. These price differences may be greater for this ETF compared to other ETFs because this ETF provides less information to traders. These additional risks may be even greater in bad or uncertain market conditions. The ETF will publish on its website each day a "Proxy Portfolio" designed to help trading in shares of the ETF. While the Proxy Portfolio includes some of the ETF's holdings, it is not the ETF's actual portfolio.

The differences between this ETF and other ETFs may also have advantages. By keeping certain information about an ETF portfolio secret, this ETF may face less risk that other traders can predict or copy its investment strategy. This may improve the ETF's performance. If other traders are able to copy or predict an ETF's investment strategy, however, this may hurt the ETF's performance.

For additional information regarding the unique attributes and risks of this ETF, see the Prospectus.

**Alpha:** is typically used to represent the value added or subtracted by active investment management strategies. It shows how an actively managed investment portfolio performed compared with the expected portfolio returns produced simply by benchmark volatility (beta) and market changes. **S&P 500:** An index of large company stocks considered to be representative of the U.S. stock market. S&P 500 Index performance does not reflect deductions for fees or expenses. **Russell 1000:** An index that is used as a benchmark by investors. It is a subset of the larger Russell 3000 Index and represents the 1000 top companies by market capitalization in the United States. One cannot invest in an index.

Distributed by Quasar Distributors, LLC.