SUMMIT
GLOBAL INVESTMENTS

SGI US LARGE CAP CORE ETF

Managed Risk Approach™

Managing downside risk is at the core of everything we do. We are driven to find, assess and mitigate potential risks in individual securities, systemically in companies, and across combinations of companies.

We are active managers; our portfolios are designed to help investors flourish in rising markets. But, it's our critical focus on the downside that has historically proven key to pursuing investors' long-term goals.

SGI US Large Cap Core ETF

Our new Large Cap Core exchange traded fund (ETF) is a simple way for investors to participate in an actively managed portfolio, which we believe can be considered for a core holding in an overall investment program.

The Fund will invest 80% of its net assets in companies in the Russell 1000® and S&P 500® Indexes, anticipating a return similar to the market, but with equal or less risk than the market.

We select the stocks we believe exhibit less volatile stock price patterns than the market, strengthening business metrics, and a variety of attractive quantitative factors to achieve our objective of long-term capital appreciation. Of course, there is no guarantee that the strategy will be successful or that the ETF will not experience periods of volatility and loss.

We continually review idiosyncratic risks associated with each stock and may sell if we deem these risks to be elevated due to sustainability, governance issues, negative exclusionary screening, or legal risks or if the risk/ return characteristics decline.

An Active Semi Transparent ETF

As part of an evolution of the ETF space approved by the SEC in 2019, semi-transparent ETFs disclose holdings quarterly, like a mutual fund. This allows potential for greater alpha to be created by our proprietary portfolio trading strategy. By not revealing our insights and decisions daily like traditional ETFs, there is less potential for other traders to engage in practices that may potentially harm the Fund and its shareholders.

Like all ETFs, SGLC offers daily liquidity, is tax-efficient and has low expenses.

Ticker | **SGLC**

Actively managed semi-transparent ETF

Seeks **long-term capital appreciation**

Low expenses

Tax efficient

Daily **liquidity**

NYSE listed

Inception | **3.31.2023**

DAVID HARDEN
Founder, CEO, CIO
Summit Global Investments LLC

Portfolio Manager

Dave Harden has enjoyed a career of more than 25 years in investment technology and quantitative research. He has an established track-record demonstrating his ability as a portfolio manager and equity strategist. Prior to founding SGI, he worked with Fidelity Investments, Evergreen Investments and Ensign Peak Advisors, where he was responsible for developing and implementing numerous portfolio strategies.

For most of his career, Dave has focused on managing institutional assets with a managed risk approach. He is one of only a handful of active portfolio managers in the world that has researched, constructed, implemented, and actively managed significant assets in the managed risk space. He is a graduate of Boston University, M.S.C.S. and Boston College, B.A.

Summit Global Investments, Adviser

SGI is an SEC-registered investment advisor headquartered in Salt Lake City, Utah, with offices in San Francisco, CA and Boise, ID. The firm serves institutional and individual investors, Registered Investment Advisors, and Family Offices. It is dedicated to creating customized investment solutions and strategies for its clients.